

Review of Holographic Second Laws for Conformal Field Theories Out of Equilibrium

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Presented at the International Institute of Physics, Federal University of Rio Grande do Norte

Workshop: "II Workshop on Quantum Information and Thermodynamics"

Date: March 11, 2019

Overview

- Review of the α -relative Rényi entropies and the 2nd laws of thermodynamics
- Conformal field theories (CFTs) & correspondence to anti-de Sitter (AdS)
 - Renormalisation group (RG), c-theorem, and CFTs
- Applying α -RRE to CFTs
 - Path integral for a quenched state
 - Implications for RG flows

Relative Rényi Entropies

- Quantum relative divergence (QRD): $S(\rho\|\sigma) := \text{Tr} [\rho(\ln \rho - \ln \sigma)]$
 - Standard measure of distinguishability between two states. Generalises von Neumann.
- By analogy with Rényi entropy, generalisable to one-parameter family?
 - Proper^[1] and standard: for $\alpha \in [0, 1]$, define^[2] quantum Rényi entropy (QRE) as:

$$S_\alpha(\rho\|\sigma) := \frac{1}{1-\alpha} \ln \frac{\text{Tr} [\rho^\alpha \sigma^{(1-\alpha)}]}{\text{Tr} \rho}$$

- Extension^[3,4] to $\alpha \in [1, \infty)$: sandwiched relative Rényi entropy (sandwiched-RRE).

$$S_\alpha(\rho\|\sigma) := \frac{1}{1-\alpha} \ln \frac{\text{Tr} \left[\left(\sigma^{(1-\alpha)/2\alpha} \rho \sigma^{(1-\alpha)/2\alpha} \right)^\alpha \right]}{\text{Tr} \rho}$$

[1] – F. Hiai and D. Petz, Commun. Math. Phys. **143**, 99 (1991).

[2] – H. Umegaki, Kodai Math. Sem. Rep. **14**, 59 (1962).

[3] – M. Müller-Lennert et al., arXiv:1306.3142.

[4] – M. M. Wilde, A. Winter, and D. Yang, Comm. Math. Phys. **331**, 593 (2014).

α -Free Energies

- Textbook free energy: $F(\rho) = \langle E \rangle_\rho - TS(\rho) = \langle H \rangle_\rho - T \operatorname{Tr} [\rho \ln \rho]$
 - Transitions from ρ_i to ρ_f only for $F(\rho_f) \leq F(\rho_i)$; i.e. if $\Delta F := F(\rho_f) - F(\rho_i) \leq 0$.
- Brandão et al.^[5]: generalise to family of α -free energies:

$$F_\alpha(\rho) := -k_B T \ln Z + k_B T S_\alpha(\rho \| \rho_G)$$

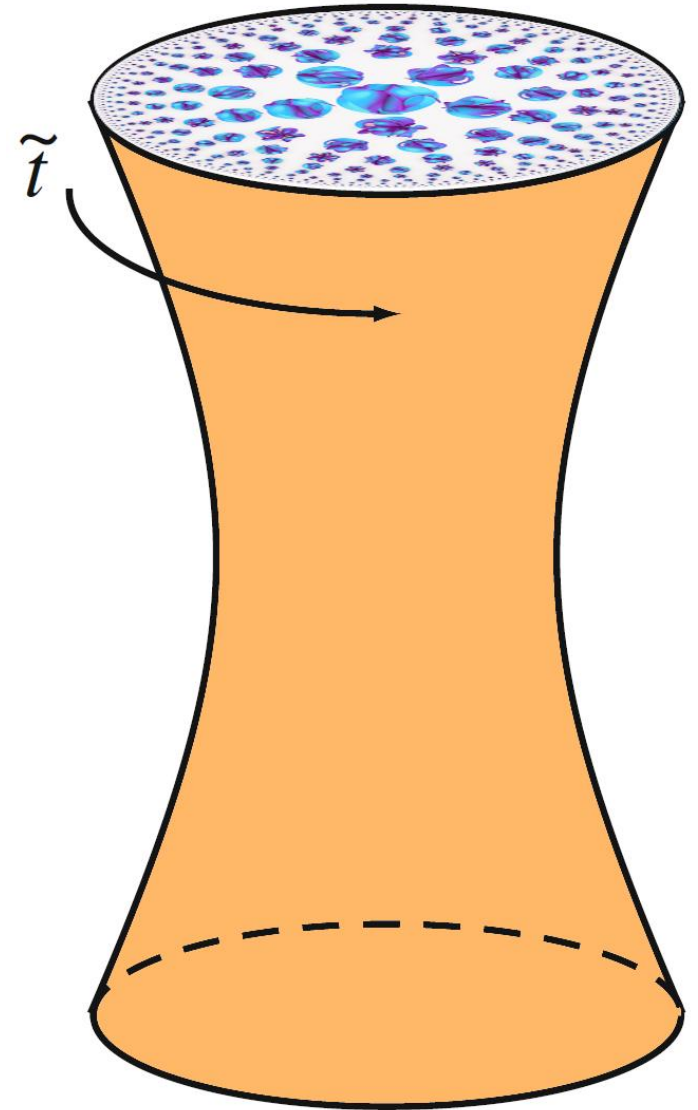
- We can do this due to monotonicity of Rényi divergences: generalises 2nd Law.
- Transitions from ρ_i to ρ_f only for $F_\alpha(\rho_f) \leq F_\alpha(\rho_i)$ for all α .
- $S_\alpha(\rho \| \rho_G)$: relative entropy between ρ and ρ_G (thermal state).
- Question: what new insights can this give for conformal field theories?

CFT and AdS Correspondence

- Quantum field theories invariant under conformal transformations:

$$g_{\mu\nu}(x^\sigma) \mapsto f(x^\sigma) g_{\mu\nu}(x^\sigma)$$

- $f(x^\sigma) \in \mathbb{R}^+$ is the scale factor, and must be positive definite.
- Scale-invariance: CFTs are fixed points of RG flows.
 - QFTs are either CFTs (at a fixed point) or are at specific points in RG flows between fixed points.
- Maldacena^[6]: gauge theories in an AdS space have a duality to a given CFT.
 - AdS: spacetime with constant negative curvature.
 - $SO(2, n - 1)$ symmetry: isomorphic to conformal group in $(n - 1)$ dim.



Path Integral for Quenched Excited States

- In terms of Cauchy surfaces, a thermal state is^[7] straightforward:

$$\rho_\beta = e^{-\beta H_{\text{CFT}}} = \text{[Diagram: A rectangle with a solid left and bottom edge and a dashed right edge. To its right is a vertical double-headed arrow labeled } \beta \text{.]}$$

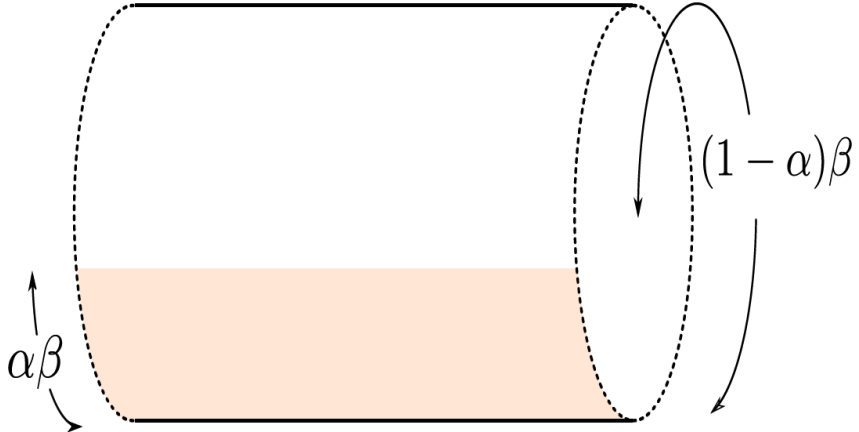
- Similarly, a globally excited state at a specific point in time is simply:

$$\rho_\beta = e^{-\beta H_{\text{CFT}}} = \int [D\phi] e^{-S_{\text{CFT}}[\phi] - \eta \int d^d x \mathcal{O}_\Delta(x)} = \text{[Diagram: An orange-filled rectangle with a solid left and bottom edge and a dashed right edge. To its right is a vertical double-headed arrow labeled } \beta \text{.]}$$

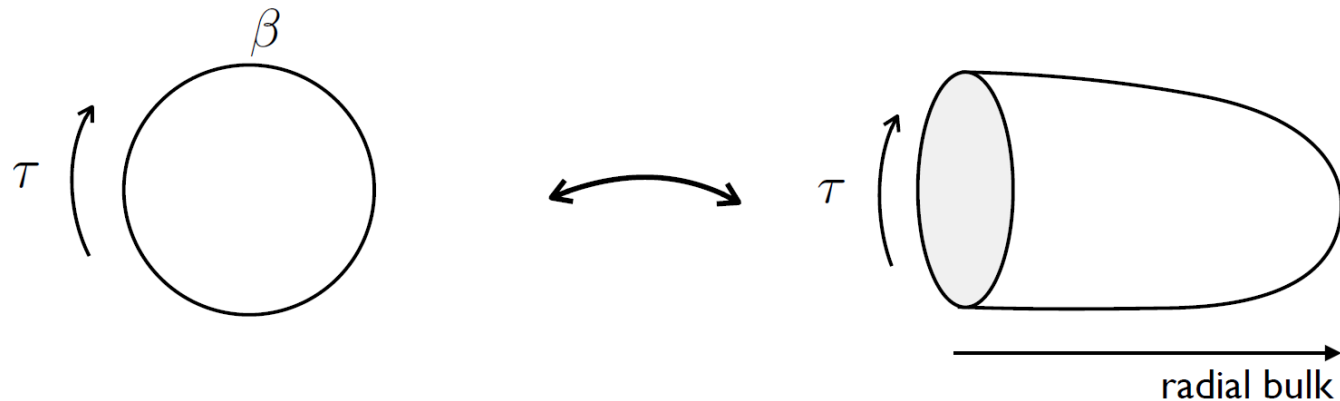
- $\mathcal{O}_\Delta(x)$ is an operator of conformal dimension Δ that generates the excited state.
 - Conformal dimension: scale exponent $\mathcal{O} \mapsto \lambda^{-\Delta} \mathcal{O}$ under dilations $x \mapsto \lambda x$.
- η is the amplitude of the excitation.

Quenched State Relative Entropies

- To calculate $\text{Tr} [\rho^\alpha \rho_G^{(1-\alpha)}]$, BGMO^[7] stitch these together:

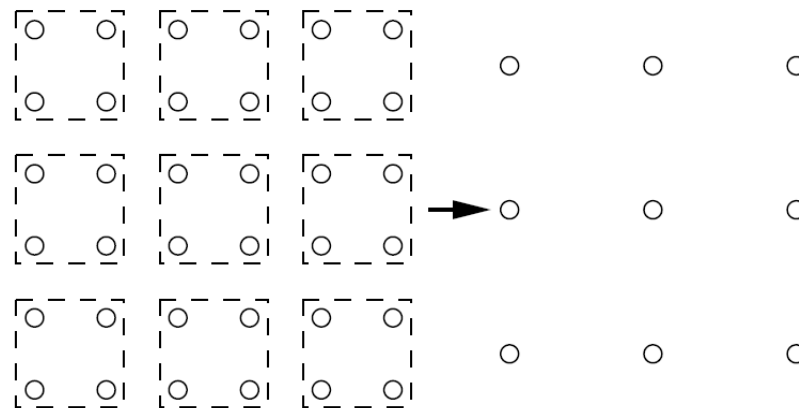
$$\text{Tr} [\rho^\alpha \rho_G^{(1-\alpha)}] =$$


- AdS/CFT dictionary relates a finite temperature CFT to a black hole state in an AdS space:



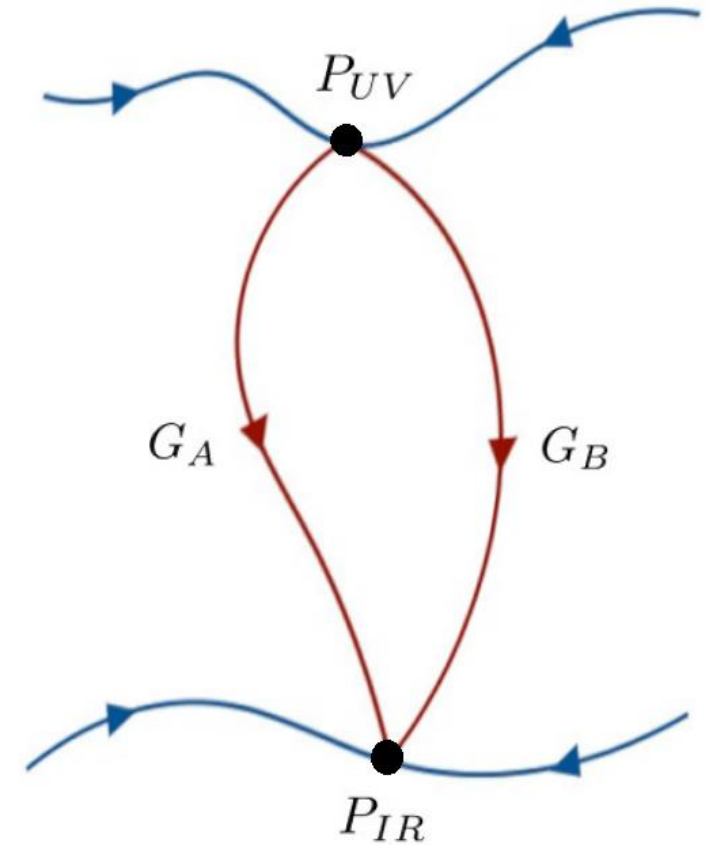
Renormalisation Group

- RG tells influence of coupling constants (CCs) in Hamiltonian over length scales.
 - $H(\{K_a\})$. Examine $K_a \rightarrow K_a + \delta\ell$ ($\partial K_a / \partial \ell$). Defines beta function: $\beta(K_a) := \partial K_a / \partial \ell$.
 - Fixed point: couplings invariant under renormalisations. ($\beta^a = \partial\{K_a\}/\partial\ell = 0$.)
 - Ising model: $H = -K \sum_{\langle i,j \rangle} \sigma_i \sigma_j$. Examine how K changes as we coarse-grain.
 - Ising: $H \rightarrow -K_1 \sum_{\langle i,j \rangle} \sigma_i \sigma_j - K_2 \sum_{\langle\langle i,j \rangle\rangle} \sigma_i \sigma_j - K_2 \sum_{\langle\langle i,j \rangle\rangle} \sigma_i \sigma_j \sigma_k \sigma_l + \dots$



c-Theorem

- In CC space, H represented by points $\vec{K} \in \mathbb{R}^a$.
 - RG maps points to other points.
 - RG flow given by eigenvalues of RG transformation.
- Zamolodchikov^[8]: fixed points are CFTs!
 - β -function: velocity in CC space. $d/dt_K := -\beta^a(K) \partial/\partial\{K_a\}$
 - c-function: monotone decreasing along RG flow. $dC/dt_K \leq 0$.
 - At fixed point, c-function yields central charge of a CFT.
- QFTs are points on RG flow between CFTs.
- Gives direction to RG flow: $c_{IR} \leq c_{UV}$.

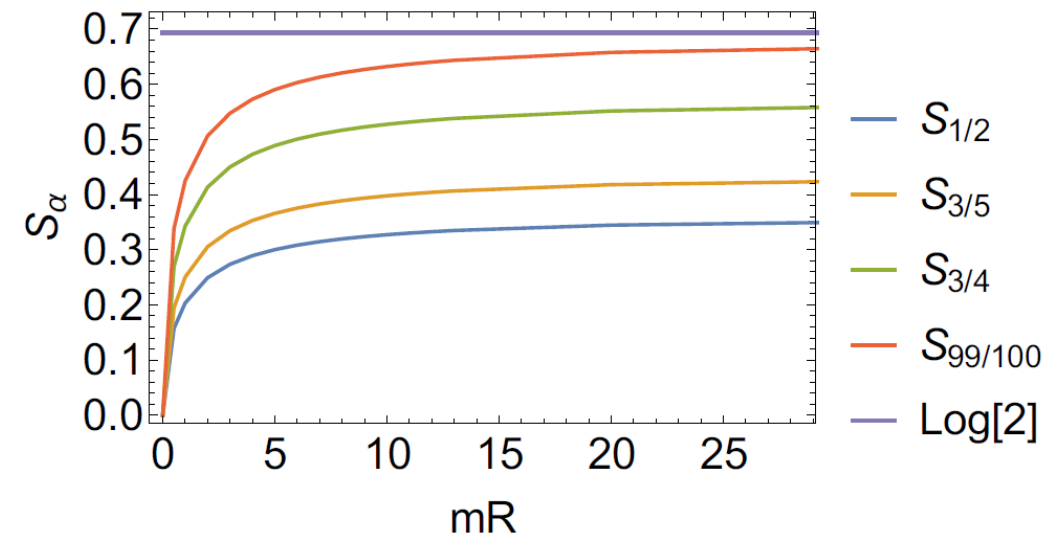
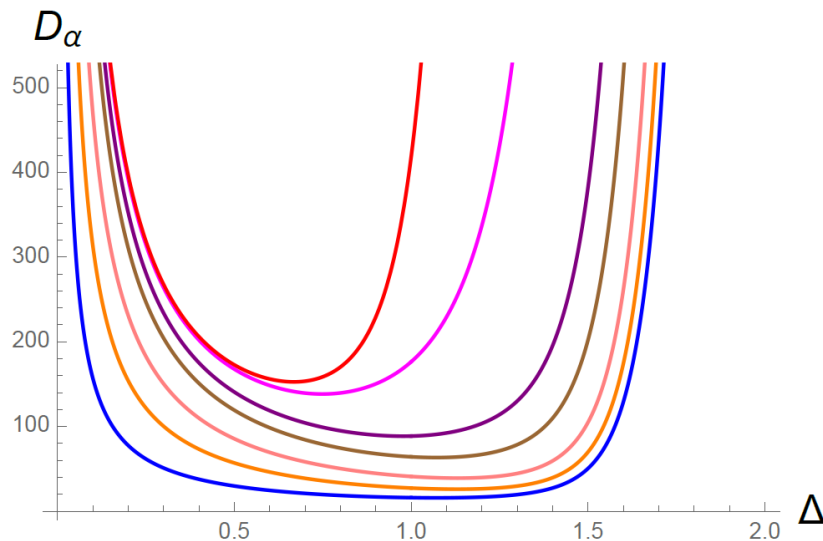


Results for CFTs

- BGMO^[7]: α -free energies yield extra restrictions on transitions!
- CMLT^[9]: extra restriction on RG trajectories:

$$S_\alpha(\rho_{\Delta, UV} \| \rho_{G, UV}) \leq (c_{UV} - c_{IR}) \ln \Lambda_0 R / 3$$

- Ugajin^[10]: preliminary relation of S_α to quasiparticle evolution^[11] in CFTs.



[7] – A. Bernamonti, F. Galli, R. C. Meyers, and J. Oppenheim, J. High Energy Phys. **2018**, 111 (2018).

[9] – H. Casini, R. Medina, I. S. Landea, and G. Torroba, J. High Energy Phys. **2018**, 166 (2018).

Left image from [7]. Right image from [9].

[10] – T. Ugajin, arXiv:1812.01135.

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Conclusions and Next Steps

- α -second laws offer powerful new constraints on conformal field theories.
 - In quenched excited state, explicit case of transitions forbidden by $\alpha \neq 1$ second laws.
 - Stronger bounds on transitions between CFTs, including $\alpha \neq 1$ forbidden transitions.
 - Can be used to examine set of all possible allowed evolutions of quasiparticles.
- Next steps
 - Application to the entanglement wedge reconstruction.
 - Application to specific CFTs, as well as fuller examination of applications to CFT quasiparticles.
 - Can be used to provide bounds relevant for examining topological quantum computation out of equilibrium.

Acknowledgements

- Hannah Watson
- Michael P. Frank (Sandia National Laboratories)
- Nitin Upadhyaya (Flame University; Harvard University)
- Anthony Bartolotta (California Institute of Technology)
- Rahul-Anaadi Kurl (Johns Hopkins University)
- Philip Mansfield (University of Chicago)
- You, for your attention! Thank you!

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α -RREs, α -z-RREs, and Thermodynamic Second Laws

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- A. Belin *et al.*, SciPost Phys. **5**, 024 (2018)
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- T. Ugajin, arXiv:1812.01135

Abstract

The discovery of a family of second laws of thermodynamics based on the α -RRE provides a powerful tool to examine transitions out of equilibrium, in particular yielding a full family of constraints on the types of possible transitions available to a given system. Meanwhile, the discovery of the AdS/CFT correspondence gave rise to the holographic entanglement entropy, a unique and rich way of examining the (equilibrium) entanglement entropies of states in boundary CFTs in terms of the shared boundary surface areas.

A natural question to investigate nonequilibrium properties of boundary CFTs, then, is to investigate the effect that nonequilibrium techniques of calculating entropies can have on the properties of the holographic entanglement entropy. In this talk, I'll review properties of the holographic entanglement entropy that might be unfamiliar to some, and then discuss recent applications of the second laws of thermodynamics to the holographic entanglement entropy. In particular, I'll discuss the origin of the holographic entanglement entropy from the AdS/CFT correspondence, recent techniques used to examine α -RREs of excited states of CFTs, and recent results on the properties of mixed states in CFTs.

von Neumann, Rényi, and Relative Entropies

- von Neumann (vN) entropy of state with density matrix ρ : $S(\rho) = \text{Tr} [\rho \ln \rho]$
- Generalise vN to 1-parameter family of entropies: Rényi entropies.

$$S_\alpha(\rho) := \frac{1}{1-\alpha} \ln \frac{\text{Tr} [\rho^\alpha]}{\text{Tr} \rho}$$

- Serves as a unifying family for various entropies: vN, min., max., etc.
- Generalise vN to a measure of distinguishability between two states: quantum relative divergence (QRD).

$$S(\rho || \sigma) := \text{Tr} [\rho (\ln \rho - \ln \sigma)]$$

Relative Rényi Entropy Candidates

- Is QRD generalisable to one-parameter family?
 - Quantum Rényi entropy as previously defined is the standard.
- Problem: ∞ possible ways^[12] of arranging ρ and σ to get a valid expression.
 - Example 1: sandwiched RRE^[3,4]:

$$S_{\alpha}(\rho\|\sigma) := \frac{1}{1-\alpha} \ln \frac{\text{Tr} \left[\left(\sigma^{(1-\alpha)/2\alpha} \rho \sigma^{(1-\alpha)/2\alpha} \right)^{\alpha} \right]}{\text{Tr} \rho}$$

- Example 2: reversed-sandwiched RRE^[12]:

$$S_{\alpha}(\rho\|\sigma) := \frac{1}{\alpha-1} \ln \frac{\text{Tr} \left[\left(\rho^{\alpha/2(1-\alpha)} \sigma \rho^{\alpha/2(1-\alpha)} \right)^{(1-\alpha)} \right]}{\text{Tr} \rho}$$

[3] – M. Müller-Lennert *et al.*, arXiv:1306.3142.

[4] – M. M. Wilde, A. Winter, and D. Yang, *Comm. Math. Phys.* **331**, 593 (2014).

[12] – K. M. R. Audenaert and N. Datta, *J. Math. Phys.* **56**, 022202 (2015).

α -z-RRE

- Problem: ρ and σ don't commute, so there's an infinite number of ways of slicing up powers of them to get a valid Rényi entropy.
- In order to encompass all possible ways we can do this, define^[12] a two-parameter family: the α -z-relative Rényi entropy. (α -z-RRE)

$$S_{\alpha,z}(\rho\|\sigma) := \frac{1}{\alpha - 1} \ln \frac{\text{Tr} \left[\left(\rho^{\alpha/z} \sigma^{(1-\alpha)/z} \right)^z \right]}{\text{Tr} \rho}$$

- Specific Rényi entropies of interest are then specific cases of this.

α -z-RRE as an Encompassing Framework

- α -z-RRE encompasses all possible quantum entropies:
 - Reduces to QRE for $z = 1$.
 - Reduces to sandwiched RRE for $z = \alpha$.
 - Reduces to reversed-sandwiched RRE for $z = 1 - \alpha$.
 - Yet more possibilities! Examples:

$$S_{\alpha,2} = \frac{1}{\alpha - 1} \ln \frac{\text{Tr} [\rho^{\alpha/2} \sigma^{(1-\alpha)/2} \rho^{\alpha/2} \sigma^{(1-\alpha)/2}]}{\text{Tr} \rho}$$

$$S_{\alpha,\infty} = \lim_{z \rightarrow \infty} \frac{1}{\alpha - 1} \ln \frac{\text{Tr} [\exp\{\alpha \ln \rho + (1 - \alpha) \ln \hat{\sigma}\}]}{\text{Tr} \rho}$$

Limiting Cases to Retrieve What We Want

- Familiar entropies serve as the limiting cases of various relative entropies derived from α -z-RRE:

- QRD: $S_1(\rho\|\sigma) := \text{Tr} [\rho(\ln \rho - \ln \sigma)]$ is $\alpha \rightarrow 1$ limit of QRE and sandwiched RRE:

$$\text{Tr} [\rho(\ln \rho - \ln \sigma)] = \lim_{\alpha \nearrow 1} \frac{1}{1-\alpha} \ln \frac{\text{Tr} [\rho^\alpha \sigma^{(1-\alpha)}]}{\text{Tr} \rho} = \lim_{\alpha \searrow 1} \frac{1}{1-\alpha} \ln \frac{\text{Tr} \left[\left(\sigma^{(1-\alpha)/2\alpha} \rho \sigma^{(1-\alpha)/2\alpha} \right)^\alpha \right]}{\text{Tr} \rho}$$

- O-RRE: $S_0(\rho\|\sigma) := -\ln \text{Tr}_{\{\text{supp } \rho\}} \sigma$ is $\alpha \rightarrow 0$ limit of reversed-sandwiched RRE:

$$-\ln \text{Tr}_{\{\text{supp } \rho\}} \sigma = \lim_{\alpha \nearrow 1} \frac{1}{1-\alpha} \ln \frac{\text{Tr} [\rho^\alpha \sigma^{(1-\alpha)}]}{\text{Tr} \rho}$$

More Limiting Cases

- More limits:

- Min-RRE: $S_{\min}(\rho\|\sigma) = -2 \ln \|\sqrt{\rho}\sqrt{\sigma}\|$ is $\alpha = 1/2$ value of sandwiched-RRE:

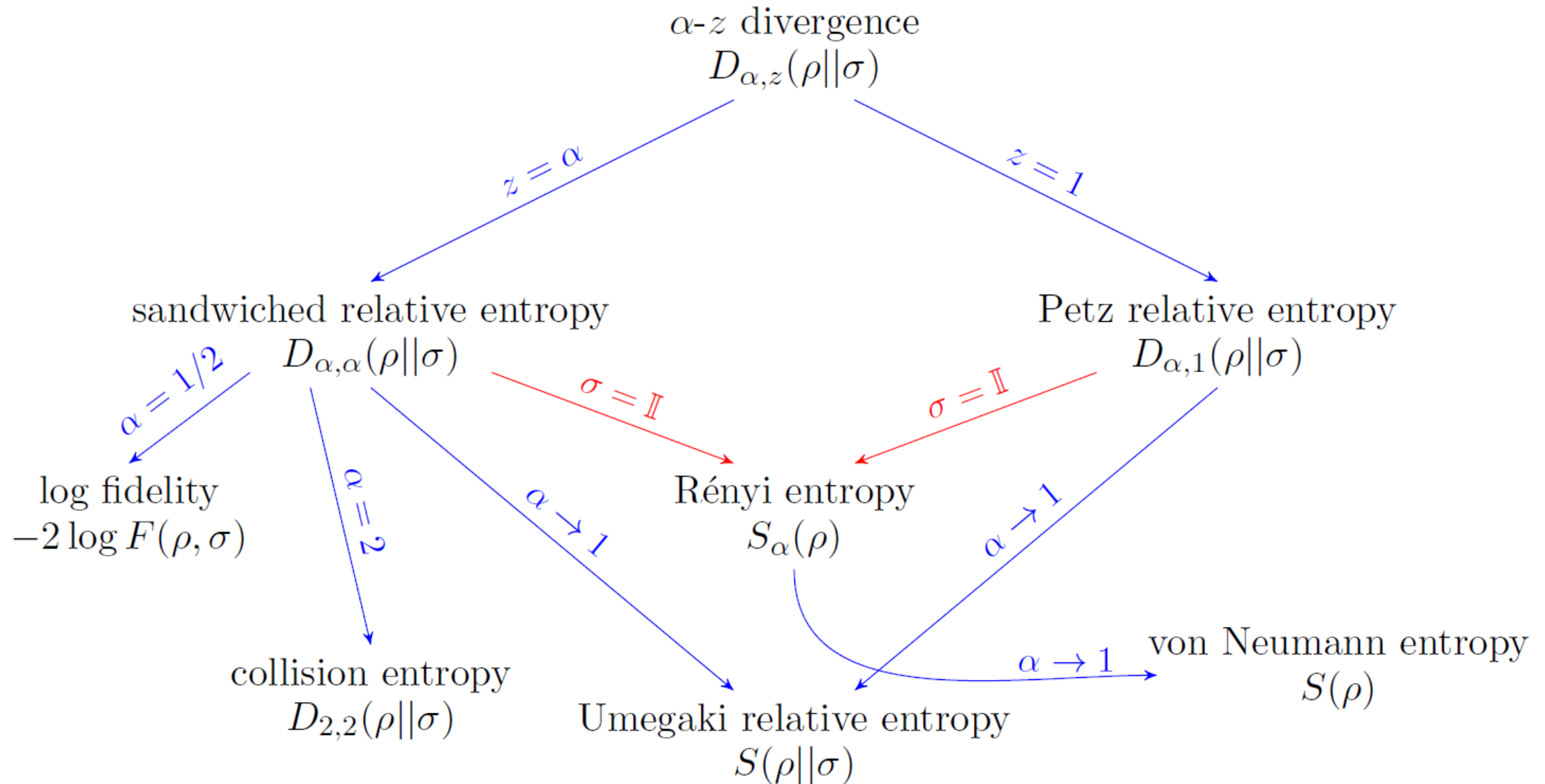
$$-2 \ln \|\sqrt{\rho}\sqrt{\sigma}\| = \frac{1}{1-\alpha} \ln \frac{\text{Tr} \left[\left(\sigma^{(1-\alpha)/2\alpha} \rho \sigma^{(1-\alpha)/2\alpha} \right)^\alpha \right]}{\text{Tr} \rho} \Bigg|_{\alpha=1/2}$$

- Max-RRE: $S_{\max}(\rho\|\sigma) := \inf \{ \lambda \in \mathbb{R} \mid \rho \leq e^\lambda \sigma \}$ is $\alpha \rightarrow \infty$ limit of sandwiched RRE:

$$\inf \{ \lambda \in \mathbb{R} \mid \rho \leq e^\lambda \sigma \} = \lim_{\alpha \rightarrow \infty} \frac{1}{1-\alpha} \ln \frac{\text{Tr} \left[\left(\sigma^{(1-\alpha)/2\alpha} \rho \sigma^{(1-\alpha)/2\alpha} \right)^\alpha \right]}{\text{Tr} \rho}$$

- Conclusion: α -z-RRE will give us every possible relative entropy, but we need to figure out which z is appropriate.

α -z-RRE Zoo

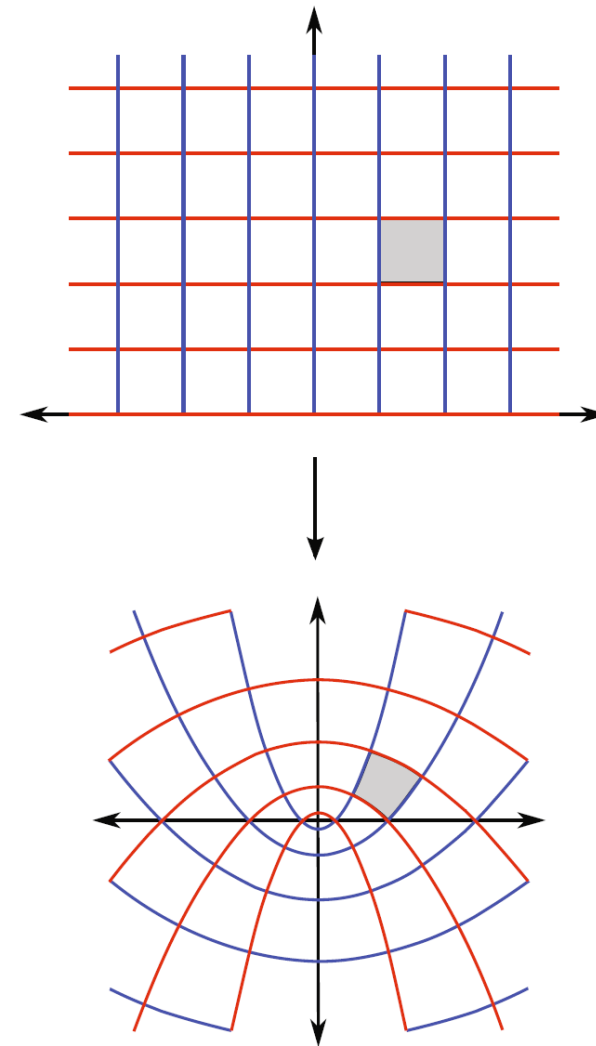


Conformal Field Theories

- A QFT that is invariant under conformal transformations:

$$g_{\mu\nu}(x^\sigma) \mapsto f(x^\sigma) g_{\mu\nu}(x^\sigma)$$

- $f(x^\sigma) \in \mathbb{R}^+$ is the scale factor, and must be positive definite.
- Poincaré group is subgroup of conformal group, since $f = 1$.
- Invariance: conformal trans. preserve angles & unitarity.
- Scale-invariance: CFTs are fixed points of RG flows.
 - Systems near critical point; effective theories on topological systems; theories without mass parameters in \mathcal{L} .
 - QFTs are either CFTs (at a fixed point) or are at specific points in RG flows between fixed points.

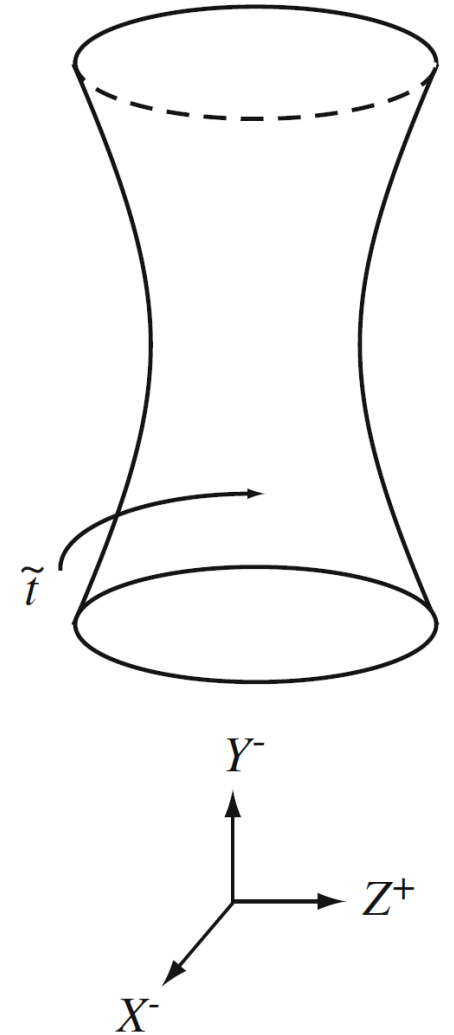


Anti-de Sitter Space

- Generated by Einstein-Hilbert action with constant negative cosmological constant:

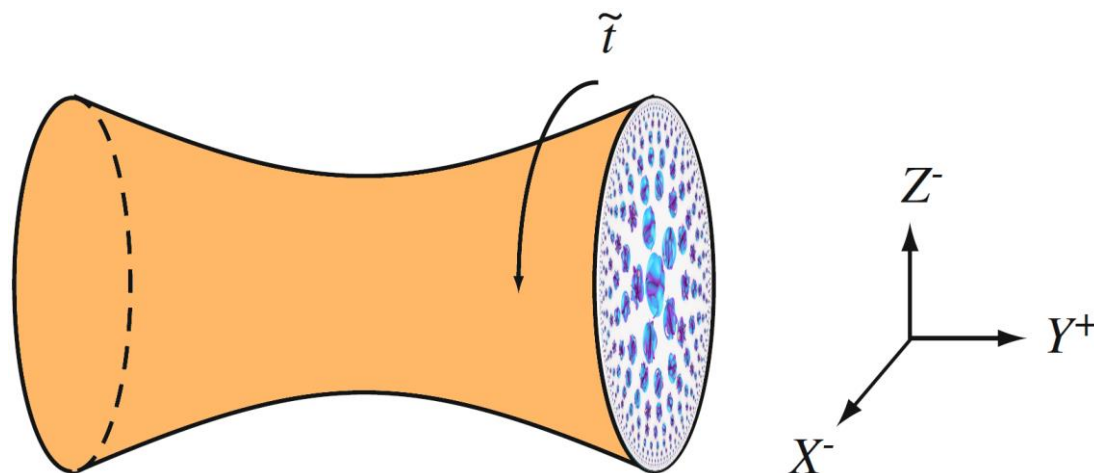
$$\mathcal{L}_{\text{AdS}_n} = (g^{\mu\nu} R_{\mu\nu} - \Lambda) / 16\pi G_{(n)}$$

- $R_{\mu\nu}$ is Ricci curvature; $G_{(n)}$ is n -dimensional gravitational constant.
- Solution: hyperboloid in $(n - 1)$ dimensions.
 - Constant negative curvature.
 - Photons redshifted as they travel away from centre.
 - Trajectories always periodic.
 - Distance to edge is infinite, but photons reach in finite time.
- Exhibits $\text{SO}(2, n - 1)$ symmetry.



AdS/CFT Correspondence

- Anti-de Sitter space: hyperboloid in n dimensions.
 - Metric: $ds^2 = -L^2 (\tilde{r}^2 + 1) d\tilde{t}^2 + dr^2 / (\tilde{r}^2 + 1) + L^2 \tilde{r}^2 d\Omega_{n-2}^2$
 - Has $SO(2, n - 1)$ symmetry: isomorphic to conformal group in $(n - 1)$ dimensions!
- GKP-Witten relation: $Z_{\text{AdS}} = Z_{\text{CFT}}$.
 - Central conjecture of AdS/CFT.
 - Need a dictionary to map quantum gravity in AdS_d to CFT on \mathbb{R}^{n-1}



AdS/CFT Dictionary

Bulk: n -dimensional AdS space (AdS_n)	Boundary: CFT on $\partial\text{AdS}_n (= \mathbb{R}^{n-1})$
Isometry group $SO(2, n - 1)$	Conformal group $\text{Conf}(\mathbb{R}^{1,n}) \cong SO(2, n - 1)$
<i>Asymptotically locally</i> AdS space	Interacting QFT, with an RG fixed point. <ul style="list-style-type: none"> • CFT at fixed point must be same as BCFT on ∂AdS_n.
States with black holes	Finite-temperature states
Internal gauge symmetry of quantum gravity	Global symmetry
(Scalar) field ϕ : $\phi(\tilde{r}, \tilde{t}, \Omega) = \int d\vartheta d\tau K(\tilde{\theta}, \tilde{r}; \vartheta, \tau) \mathcal{O}(\tau, \vartheta)$	Conformal operator \mathcal{O} with dimension Δ : $\mathcal{O}(\tilde{t}, \Omega) = \lim_{r \rightarrow \infty} r^\Delta \phi(\tilde{r}, \tilde{t}, \Omega)$
Linear combinations of states and operators, and their respective spaces: $ \psi\rangle_{\text{AdS}} \in \mathcal{H}_{\text{AdS}}$; fields $\phi_{\text{AdS}}, (A_\mu)_{\text{AdS}}, (h_{\mu\nu})_{\text{AdS}}$	Linear combinations of states and operators, and their respective spaces: $ \psi\rangle_{\text{CFT}} \in \mathcal{H}_{\text{CFT}}$; fields $\mathcal{O}_{\text{CFT}}, (J_\mu)_{\text{CFT}}, (T_{\mu\nu})_{\text{CFT}}$

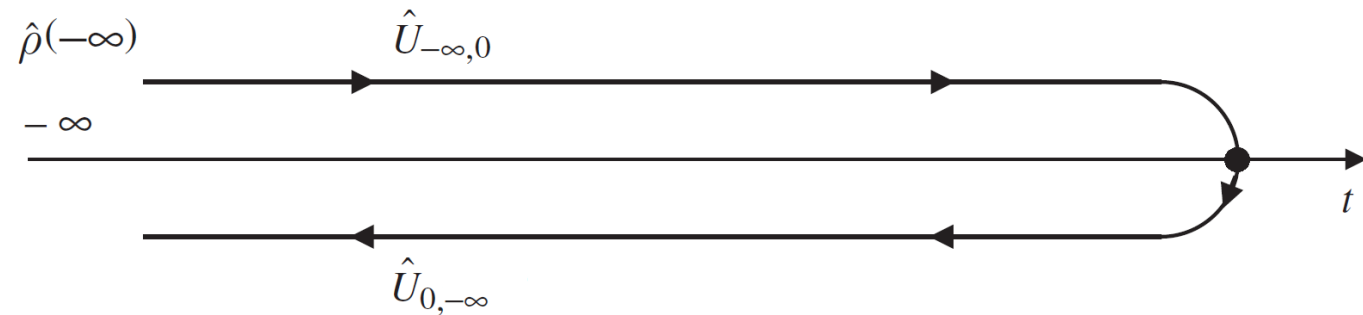
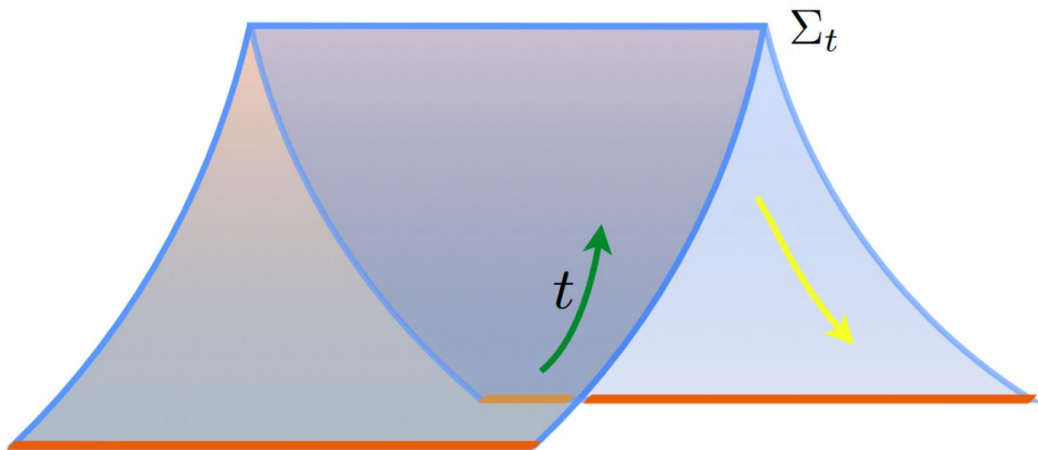
General Features of Path Integrals for S_α

- ρ and $f(\rho, \sigma)$ calculated^[13] via Euclidean path integral over a Cauchy surface, e.g.:

$$\rho = \int [\mathcal{D}\phi] e^{-S[\phi]}$$

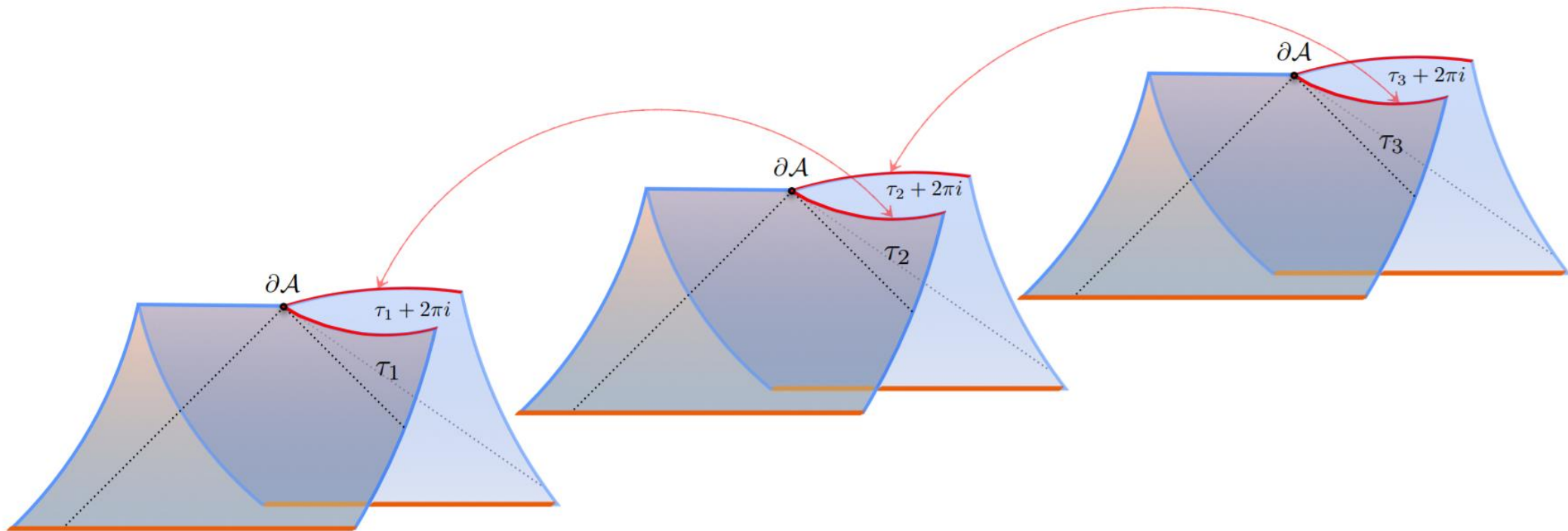
$$\left(\sigma^{(1-\alpha)/2\alpha} \rho \sigma^{(1-\alpha)/2\alpha} \right)_{ij} = \int [\mathcal{D}\phi][\mathcal{D}a][\mathcal{D}b] \langle j | \sigma^{(1-\alpha)/2\alpha} | a \rangle \langle a | \rho | b \rangle \langle b | \sigma^{(1-\alpha)/2\alpha} | i \rangle$$

- Time evolution of fields over Schwinger-Keldysh (in-in) contour.



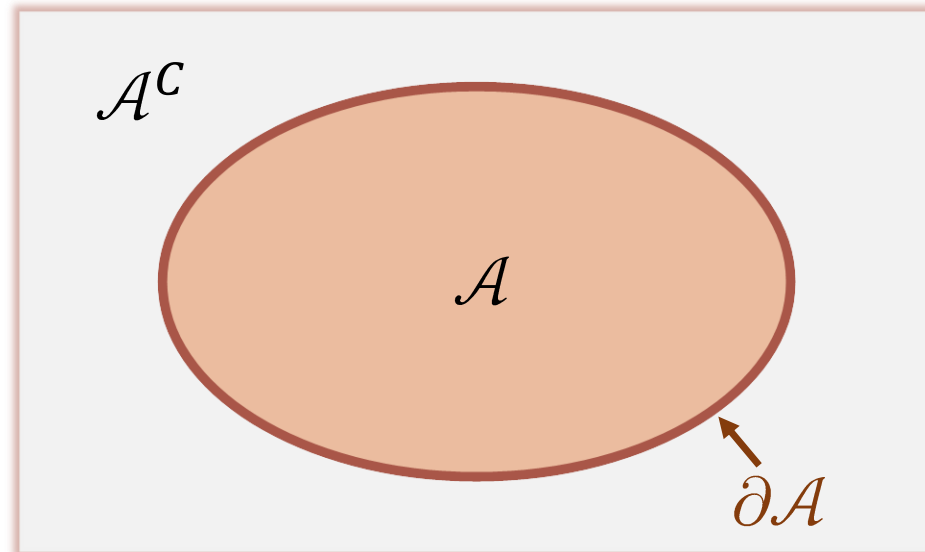
Replica Trick

- Can simplify calculating expressions like ρ^α by considering these as repeated copies of the Cauchy surface integral for ρ .
- Sheets are connected by branch cuts, due to imposition of boundary conditions on fields.



Entanglement Entropy in QFT

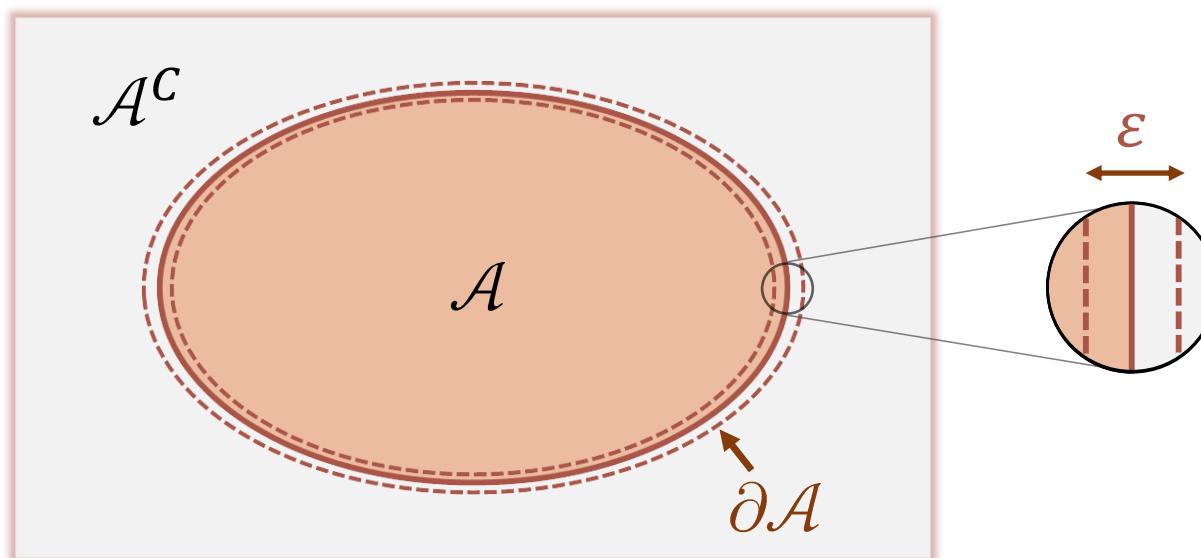
- Examine QFT on spacelike slice (Cauchy surface); divide region into \mathcal{A} and \mathcal{A}^c .
 - $\partial\mathcal{A}$ is entangling surface.
 - Integrate out \mathcal{A}^c degrees of freedom by defining domain of support (BCs) for \mathcal{A} .
 - Insert δ -functional into $\int[\mathcal{D}\phi] : \rho = \int[\mathcal{D}\phi] e^{-S[\phi]} \delta(\phi_{\mathcal{A}}(t_{0-}) - \phi_-) \delta(\phi_{\mathcal{A}}(t_{0+}) - \phi_+)$



UV Divergence of QFT Entanglement Entropies

- Short-range correlations cut by entangling surface: UV divergence.
- Dependent on area of $\partial\mathcal{A}$. UV divergence of entropy $S_{\mathcal{A}}$ has area-law entanglement:

$$S_{\mathcal{A}} = c_{d-2} \left(\frac{L}{\varepsilon} \right)^{d-2} + c_{d-4} \left(\frac{L}{\varepsilon} \right)^{d-4} + \dots = \gamma \frac{\mathbf{A}[\partial\mathcal{A}]}{\varepsilon^{d-2}} + \dots$$

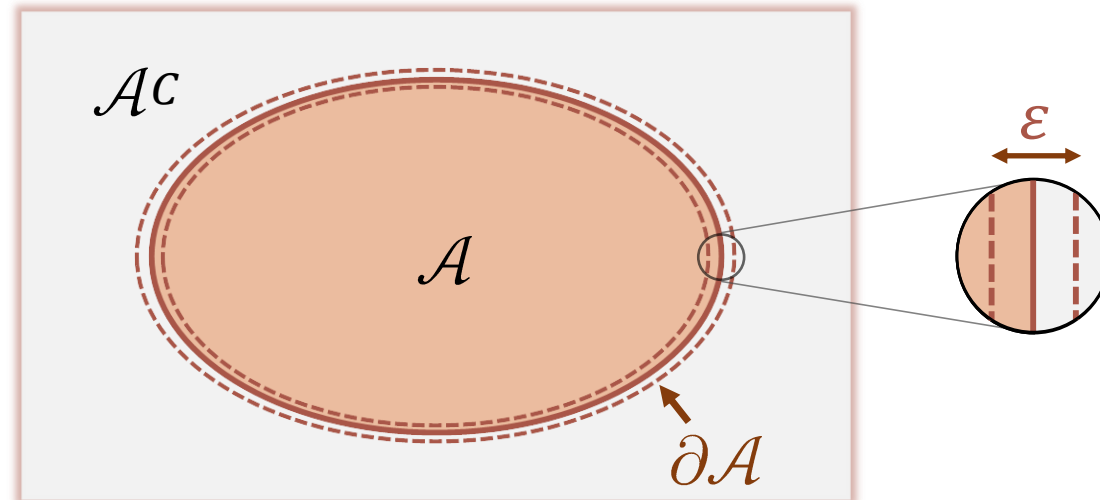


Subleading Terms

- Universal properties of underlying QFT captured in **subleading** term $\mathfrak{A}_{\partial\mathcal{A}}$:

$$S_{\mathcal{A}} = \begin{cases} c_{d-2}(L/\varepsilon)^{d-2} + c_{d-4}(L/\varepsilon)^{d-4} + \cdots + c_1(L/\varepsilon) + (-1)^{(d-1)/2} \mathfrak{A}_{\partial\mathcal{A}} + \mathcal{O}(\varepsilon) \\ c_{d-2}(L/\varepsilon)^{d-2} + c_{d-4}(L/\varepsilon)^{d-4} + (-1)^{(d-2)/2} \mathfrak{A}_{\partial\mathcal{A}} \ln(L/\varepsilon) + \mathcal{O}(\varepsilon) \end{cases}$$

- Most other coefficients c_i are RG scheme dependent.



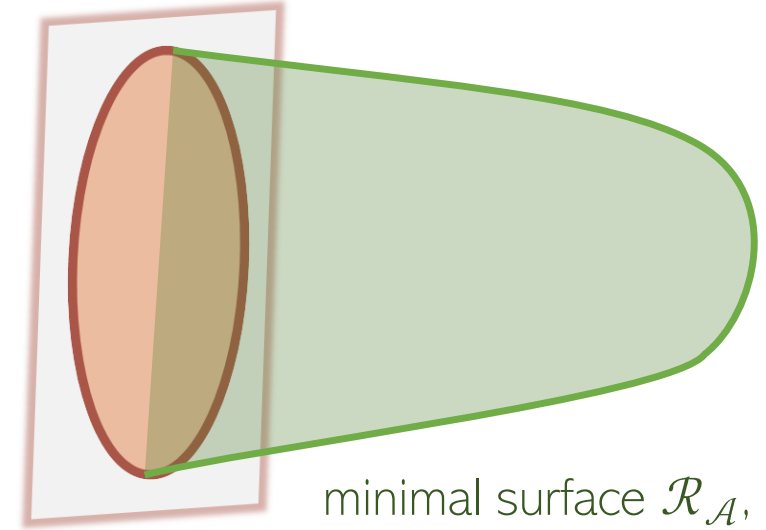
Ryu-Takayanagi and Hubeny-Rangamani-Takayanagi

- RT^[14] and HRT^[15]: leading term found by minimising over surfaces $\mathcal{R}_{\mathcal{A}}$ homologous to \mathcal{A} :

$$S_{\mathcal{A}} = \min_{\partial \mathcal{R}_{\mathcal{A}}} \frac{\mathbf{A}(\partial \mathcal{R}_{\mathcal{A}})}{4G_{(n)}} + \dots \simeq \frac{L^{d-1}}{G_{(n)}} \frac{\mathbf{A}(\partial \mathcal{A})}{\varepsilon^{d-2}} + \dots$$

- Surfaces live in the (AdS) bulk.
- Reproduces area law.
- Reproduces Calabrese-Cardy result^[16] for $\text{AdS}_3/\text{CFT}_2$ with charge c

$$S = \frac{c}{3} \ln \left(\frac{c}{\pi \varepsilon} \sin \frac{\pi \ell}{c} \right)$$



minimal surface $\mathcal{R}_{\mathcal{A}}$,
homologous to \mathcal{A}



circumference c ,
length ℓ

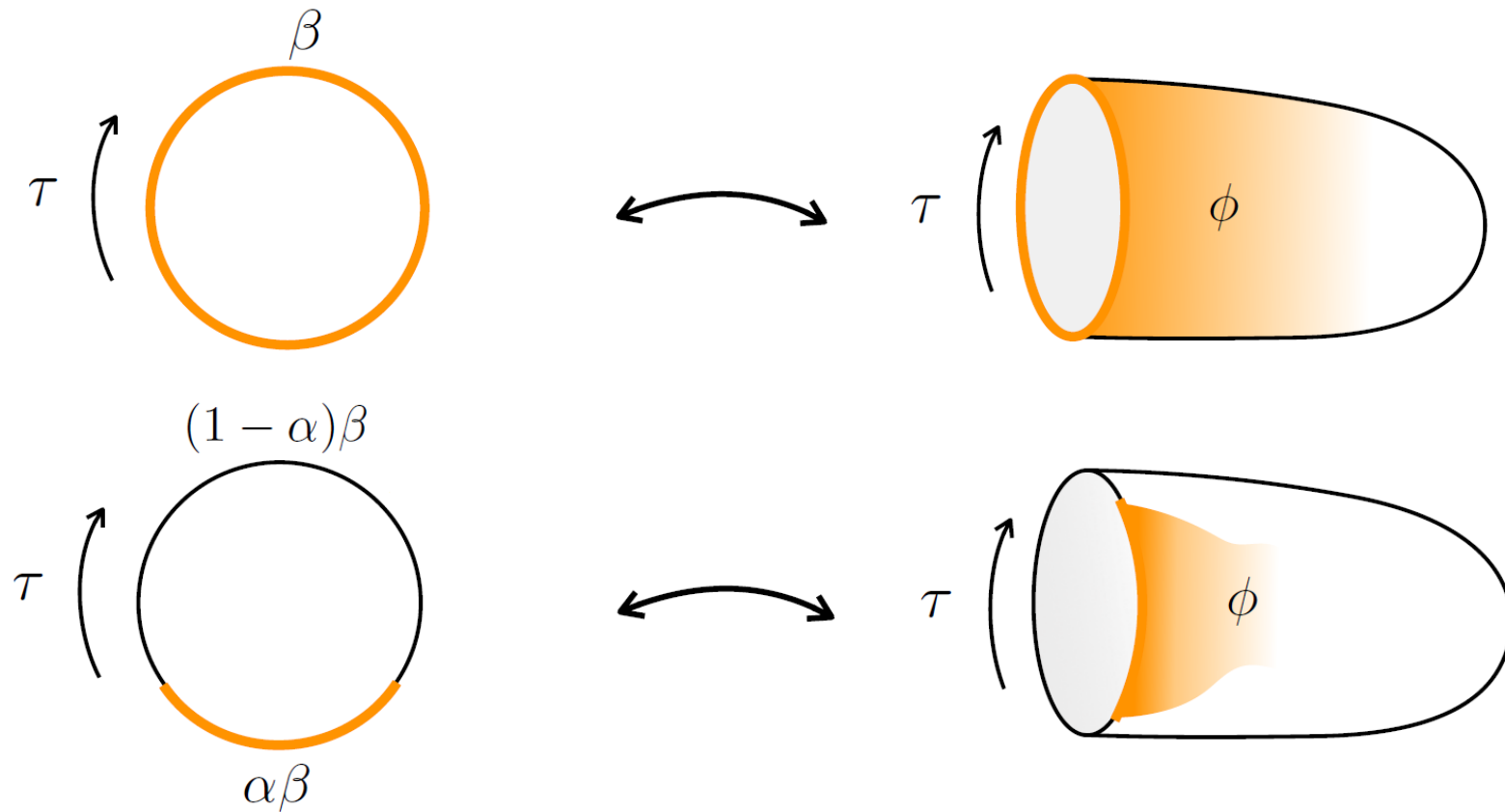
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Holography for Quenched State CFT

- Similarly, the dictionary relates CFT with an excited state to a scalar field in AdS, and a quenched state to a scalar field with time-dependent boundary conditions:



Renormalisation Group Flow

- In CC space, H represented by points $\vec{K} \in \mathbb{R}^a$. RG maps points to other points.
 - RG flow given by eigenval. of linearised matrix transformation on H close to fixed point.
 - Eigenval. > 1 : away from the fixed point. Relevant operator.
 - Eigenval. < 1 : towards the fixed point. Irrelevant operator.
 - Eigenval. $= 1$: need higher-order terms or an alternate approach to analyse. Marginal operator.

